

Q&A

9 June 2025

# Frequently Asked Questions (FAQ) - Change of Administrator and Depositary for WisdomTree Issuer ICAV



WisdomTree.eu  
+44 (0) 207 448 4330

# Frequently Asked Questions (FAQ) - Change of Administrator and Depositary for WisdomTree Issuer ICAV

## **Introduction**

This document provides answers to frequently asked questions regarding the upcoming change of administrator and depositary for WisdomTree Issuer ICAV. The information is intended to help shareholders understand the nature of the change, its implications, and the timeline for implementation.

## **General Questions**

### **1. What is happening?**

Effective on or around 23 June 2025, WisdomTree Issuer ICAV will be changing its administrator from State Street Fund Services (Ireland) Limited to BNY Mellon Fund Services (Ireland) Designated Activity Company, and its depositary from State Street Custodial Services (Ireland) Limited to The Bank of New York Mellon SA/NV, Dublin Branch.

### **2. Why is WisdomTree changing its administrator and depositary from State Street to BNY Mellon?**

This change is part of WisdomTree's ongoing efforts to optimise its operational structure and service provider relationships. BNY Mellon is a leading global provider of fund administration and depositary services with extensive experience in the exchange-traded fund (ETF) industry.

### 3. What is the role of the administrator and depositary in the operation of WisdomTree Issuer ICAV?

The administrator is responsible for calculating the Net Asset Value (NAV) of the funds, maintaining the financial books and records, and processing subscriptions and redemptions. The depositary safeguards the assets of the funds, monitors cash flows, and ensures compliance with applicable regulations regarding asset ownership and custody.

### 4. When will this change take effect?

The change is scheduled to take effect on or around 23 June 2025, subject to receipt of approval from the Central Bank of Ireland.

#### **Impact on Investors**

### 5. How will this change affect the day-to-day operations of the WisdomTree Issuer ICAV sub-funds (ETFs)?

The change of service providers will not affect the day-to-day operations of the sub-funds. All ETFs will continue to trade normally on their respective exchanges, and there will be no disruption to the investment management process.

### 6. Will this change impact the investment objectives, policies, or risk profiles of the WisdomTree Issuer ICAV sub-funds (ETFs)?

No. As stated in the shareholder notice, the change of service providers will not affect the investment objectives, policies, or risk profiles of any of the sub-funds of the ICAV. All aspects of the investment strategies will remain unchanged.

### 7. Will there be any changes to the fees and expenses as a result of this transition?

No changes to the fees and expenses charged to the sub-funds or to investors are anticipated as a result of this transition.

### 8. How will the security of fund assets be ensured during the transition?

The transition process includes comprehensive safeguards to ensure the secure transfer of all assets. Both State Street and BNY Mellon are experienced in managing such transitions, and detailed reconciliation procedures will be implemented to verify that all assets are properly accounted for throughout the process.

## **Transition Process**

### **9. What steps are being taken to ensure a smooth transition from State Street to BNY Mellon?**

WisdomTree has established a dedicated transition team working closely with both State Street and BNY Mellon to ensure a seamless transfer of responsibilities. This includes comprehensive data migration, system testing, and operational readiness assessments prior to the effective date.

### **10. Will there be any downtime or disruption to trading during the transition?**

No. The transition has been scheduled to avoid any disruption to trading. Investors will be able to continue buying and selling shares of the sub-funds of WisdomTree Issuer ICAV as normal throughout the transition period.

### **11. Will the ISIN, ticker symbols, or other identifying information for the ETFs change?**

No. All identifying information for the ETFs, including ISIN codes and ticker symbols, will remain unchanged.

### **12. Do I need to take any action as a result of this change?**

No action is required from shareholders in relation to this change. The transition will be managed by WisdomTree and the respective service providers.

## **About BNY Mellon**

### **13. Who is BNY Mellon and what is their experience in providing these services?**

BNY Mellon is one of the world's largest and most experienced financial services institutions, with extensive experience in fund administration and custody services. BNY Mellon Fund Services (Ireland) DAC is authorised and regulated by the Central Bank of Ireland, while The Bank of New York Mellon SA/NV, Dublin Branch is a public limited liability credit institution organised under the laws of Belgium, operating through its Dublin branch and is authorised and regulated as a significant credit institution by the European Central Bank (ECB), under the prudential supervision of the National Bank of Belgium (NBB) and under the supervision of the Belgian Financial Services and Markets Authority (FSMA) for conduct of business rules.

### **14. Why was BNY Mellon selected as the new service provider?**

BNY Mellon was selected following a comprehensive review process based on their extensive experience with ETFs, robust technological capabilities, global presence, and commitment to service excellence.

## Contact Information

### 15. Who can I contact if I have further questions or concerns?

If you have any questions regarding this change, please contact WisdomTree UK Limited or WisdomTree Ireland Limited at [Europesupport@wisdomtree.com](mailto:Europesupport@wisdomtree.com).

### 16. Where can I find updated fund documentation reflecting these changes?

The prospectus and supplements of WisdomTree Issuer ICAV will be updated to reflect the change of service providers on the Effective Date and will be available at [www.wisdomtree.eu](http://www.wisdomtree.eu).

## Important information

**Marketing communications issued in the European Economic Area (“EEA”):** This document has been issued and approved by WisdomTree Ireland Limited, which is authorised and regulated by the Central Bank of Ireland.

**Marketing communications issued in jurisdictions outside of the EEA:** This document has been issued and approved by WisdomTree UK Limited, which is authorised and regulated by the United Kingdom Financial Conduct Authority.

WisdomTree Ireland Limited and WisdomTree UK Limited are each referred to as “WisdomTree” (as applicable). Our Conflicts of Interest Policy and Inventory are available on request.

**The information contained in this document is for your general information only and is neither an offer for sale nor a solicitation of an offer to buy securities or shares. This document should not be used as the basis for any investment decision. Investments may go up or down in value and you may lose some or all of the amount invested. Past performance is not necessarily a guide to future performance. Any decision to invest should be based on the information contained in the appropriate prospectus and after seeking independent investment, tax and legal advice.**

**The application of regulations and tax laws can often lead to a number of different interpretations. Any views or opinions expressed in this communication represent the views of WisdomTree and should not be construed as regulatory, tax or legal advice. WisdomTree makes no warranty or representation as to the accuracy of any of the views or opinions expressed in this communication. Any decision to invest should be based on the information contained in the appropriate prospectus and after seeking independent investment, tax and legal advice.**

This document is not, and under no circumstances is to be construed as, an advertisement or any other step in furtherance of a public offering of shares or securities in the United States or any province or territory thereof. Neither this document nor any copy hereof should be taken, transmitted or distributed (directly or indirectly) into the United States.

Although WisdomTree endeavours to ensure the accuracy of the content in this document, WisdomTree does not warrant or guarantee its accuracy or correctness. Where WisdomTree has expressed its own opinions related to product or market activity, these views may change. Neither WisdomTree, nor any affiliate, nor any of their respective officers, directors, partners, or employees accepts any liability whatsoever for any direct or consequential loss arising from any use of this document or its contents.



WisdomTree.eu  
+44 (0) 207 448 4330